

COPY FOR IB

PATENT COOPERATION TREATY

PCT

REC'D 07 MAY 2004

WIPO



PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference E02-011	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/KR2002/002506	International filing date (day/month/year) 31 DECEMBER 2002 (31.12.2002)	Priority date (day/month/year) 03 JANUARY 2002 (03.01.2002)
International Patent Classification (IPC) or national classification and IPC IPC7 C12N 15/82		
Applicant KOREA RESEARCH INSTITUTE OF BIOSCIENCE AND BIOTECHNOLOGY et al		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 3 sheets, including this cover sheet.
- ☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).
- These annexes consist of a total of _____ sheets.
3. This report contains indications relating to the following items:
- I ☒ Basis of the report
 - II ☐ Priority
 - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
 - IV ☐ Lack of unity of invention
 - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
 - VI ☐ Certain documents cited
 - VII ☐ Certain defects in the international application
 - VIII ☐ Certain observations on the international application

Date of submission of the demand 11 JUNE 2003 (11.06.2003)	Date of completion of this report 26 APRIL 2004 (26.04.2004)
Name and mailing address of the IPEA/KR  Korean Intellectual Property Office 920 Dunsan-dong, Seo-gu, Daejeon 302-701, Republic of Korea Facsimile No. 82-42-472-7140	Authorized officer HAN, Hyung Mee Telephone No. 82-42-481-5601 

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/KR2002/002506

I. Basis of the report

1. With regard to the elements of the international application:*

- ☒ the international application as originally filed
- ☐ the description:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the claims:
pages _____, as originally filed
pages _____, as amended (together with any statement) under Article 19
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the drawings:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____
- ☐ the sequence listing part of the description:
pages _____, as originally filed
pages _____, filed with the demand
pages _____, filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language English which is

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☒ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☒ contained in the international application in written form.
- ☒ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheet _____

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed." and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item I and annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION

International application No.

PCT/KR2002/002506

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

Novelty (N)	Claims	1-6	YES
	Claims	None	NO
Inventive step (IS)	Claims	1-6	YES
	Claims	None	NO
Industrial applicability (IA)	Claims	1-6	YES
	Claims	None	NO

2. Citations and explanations (Rule 70.7)

The present invention relates to a method for transforming a plant plastid with enhanced efficiency. In the present invention, a primary plant transformant is generated by transforming a plant cell with a nuclear transformation vector comprising a recombinase gene and a plastid targeting sequence, and a secondary plant transformant is obtained by transforming the primary transformant with a plastid transformation vector containing a target gene and a selective marker.

The following documents have been considered for the purpose of this report:

D1 = WO 00/32799 (08 June 2000)

D2 = Proc. Natl. Acad. Sci., Vol. 87: 8526-8530 (November 1990)

D3 = Genetics, 152: 1111-1122 (July 1999)

1) Novelty and Inventive Step

D1 describes an improved method for the transformation of a plant cell plastid involving the use of a plant tissue source having an altered plastid morphology. D2 discloses a method for the stable genetic transformation of the plastid genome employing bombardment of leaves with tungsten particles. D3 relates to an efficient plastid transformation method in *Nicotiana tabacum* using cloned plastid DNA. D1-D3 describe the general state of the art. The gradual transformation process of a plant cell plastid using a primary and a secondary plant transformant is not described in any of the prior art. Therefore, the subject matter of claims 1-6 is considered to be novel and to involve an inventive step (PCT Article 33(2)-33(3)).

2) Industrial Applicability

The subject matter of claims 1-6 appears to be industrially applicable (PCT Article 33(4)).